

Appendix 1

DEGREE OF AUTHORIZATION

Dated 14.09.2017, effective from 01.10.2017

1 General conditions for authorization

- 1.1 The RO is authorized to carry out statutory certification services on behalf of Trafi as listed below.
- 1.2 The distinction between new and existing ships is the responsibility of Trafi.
- 1.3 The shipowner shall agree with the RO on the practical arrangements of the surveys.

2 Newbuildings and flag entry

- 2.1 An initial project meeting shall be arranged between Trafi, shipowner, RO and shipyard in order to clarify tasks and responsibilities between the parties when a new ship is to be built to Finnish flag.
- 2.2 Trafi, RO and Shipowner shall be in a dialogue when a foreign ship is to be transferred to the Finnish register in order to clarify tasks and responsibilities of the transfer process. A meeting shall be arranged when considered necessary.

3 Issuance of Certificates, attachments and supplements

- 3.1 Exemption certificates are issued solely by Trafi.
- 3.2 The full-term certificates, their attachments and supplements will be issued as specified in this document.
- 3.3 When the RO is issuing certificates specified in this document the certificates shall be issued "under the authority of the Government of Finland".
- 3.4 Certificates specified in an instrument relevant to this agreement shall be issued in a format and with contents as described in the instrument.
- 3.5 All certificates shall be in the English language or in combined Finnish/English or Swedish/English language. The spelling of a ship's name, homeport, companies and company address is governed by the Finnish certificate of nationality and shall be used on all certificates. This includes the use of the special letters "Å, Ä and Ö".
- 3.6 The certificates shall be printed on RO certificate paper and be furnished with appropriate stamps and other means to minimize falsification.
- 3.7 The RO may issue any other type of "Certificates" "Approval letters" and "Statements of Compliance" etc. not relevant to this agreement as long as there is no doubt that it is issued on behalf of the RO itself and not on behalf of the Government of Finland or the Finnish Transport Safety Agency.
- 3.8 Certificates may be electronically produced and communicated. Requirements set in IMO document FAL.5/Circ.39/Rev.2 "Guidelines for the use of electronic certificates" have to be fulfilled.

4 Authorization of surveys and certificates

4.1 The division of work concerning surveys and certificates is specified in tables 1 to 4.

4.2 The following types of authorizations apply:

- **RO:** Full authorization of RO to perform plan review, carry out initial, annual, intermediate, periodical, renewal or additional surveys, audits and inspections, verifications, and issue the necessary certificates within the limits given in the relevant conventions and regulations or other instruments.
- **Trafi:** Not authorized. The issuing of certificate and tasks relating to the given certificate are the prerogative of Trafi.
- **P:** Partial authorization, see remarks

Table 1 - All ships

Certificate	Authorization	Remarks
International Tonnage Certificate, ITC 69	Trafi	
International Anti-Fouling System Certificate, AFS	RO	EU regulation 782/2003
International Air Pollution Prevention Certificate, IAPP	RO	
International Load Line Certificate, ILLC	RO	The Load Line Disc shall be marked with the appropriate signature letters of the RO issuing the ILLC
International Oil Pollution Prevention Certificate, IOPP	RO	
International Sewage Pollution Prevention Certificate, ISPP	RO	
International Energy Efficiency Certificate, IEE	RO	
Engine International Air Pollution Prevention Certificate, EIAPP	RO	
Ballast Water Management Certificate, BWMC	RO	
Document of Compliance with special requirements for ships carrying dangerous cargo, DG	RO	
Certificate on Inventory of Hazardous Materials	RO	EU regulation 1257/2013
Ready for Recycling Certificate	RO	EU regulation 1257/2013
Polar Ship Certificate, POLAR	RO	
Special Purpose Code Certificate, SPCC	RO	An initial SPCC may only be issued after written permission by the Trafi
International Ship Security Certificate, ISSC	Trafi	
Maritime Labour Certificate, MLC	Trafi and MSAH (Ministry of Social Affairs and Health)	Trafi is responsible for Training and qualifications (Reg. 1.3) and Manning levels (Reg. 2.7) only. MSAH is responsible for the other parts of the MLC.

Table 2 - Cargo ships and cargo high-speed craft

Certificate	Authorization	Remarks
Cargo Ship Safety Construction Certificate, SAFCON	RO	
Cargo Ship Safety Equipment Certificate, SAFEQ	RO	
Cargo Ship Safety Radio Certificate, SAFRAD	RO	
Safety Certificate for High-Speed Craft, HSC	RO	
International Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk, NLS	RO	
International Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk, IBC FITNESS	RO	
Certificate of Fitness for the Carriage of Dangerous Chemicals in Bulk, BCH FITNESS	RO	
International Certificate of Fitness for the Carriage of Liquefied Gases in Bulk, ICG FITNESS	RO	
Certificate of Fitness for the Carriage of Liquefied Gases in Bulk, CG FITNESS	RO	

Table 3 - Passenger ships and passenger high-speed craft

Certificate	Authorization	Remarks
Passenger Ship Safety Certificate, PSSC	RO	
Safety Certificate for High-Speed Craft, HSC	RO	
Permit to Operate High-Speed Craft	Trafi	

Table 4 - Survey and certification of non-convention ships

Certificate	Authorization	Remarks
Passenger vessels in national trade falling within the scope of the EC Directive 2009/45/EC, as amended	P	Vessels which are classed by an RO authorized by Trafi shall be surveyed by the RO. Passenger Ship Safety Certificate is always issued by Trafi.

4.3 Non-convention ship, which is not mentioned in the table 4, may be surveyed by RO if agreed with Trafi. An authorization agreement shall be drawn up between the RO, the party requesting the service and Trafi, specifying the tasks the RO has been authorized to carry out and the type of documentation to be provided to Trafi.

5 Approval of manuals, plans and other arrangements

5.1 The division of work concerning approval of certain manuals, plans and other arrangements is specified in Table 5.

Table 5 – Plans and documents approved by Trafi or the RO

Plan/Manual	Approval
Cargo securing manual	RO
Damage Control Plans and Booklets	RO
Subdivision and damage stability calculation	RO
Intact stability booklet	RO
Dedicated Clean Ballast Tank Operation Manual	RO
Document of Authorization for the Carriage of Grain	RO
Grain Loading Stability Booklet	RO
Emergency towing booklet (tankers)	RO
Oil Discharge Monitoring and Control System Operational Manual	RO
Operations and Equipment Manual for Crude Oil Washing Systems	RO
Safety plan/fire control plan/life-saving appliances scheme,	RO
Shipboard oil pollution emergency plan (SOPEP)	RO
Shipboard marine pollution emergency plan for noxious liquid substances (SMPEP)	RO
List of loading and filling limits	RO
Cargo handling plans	RO
Ship structure access manual	RO
Ballast Water Management Plan (BWMP)	RO
EGC System Technical Manual "Scheme A" (ETM-A)	RO
EGC System Technical Manual "Scheme B" (ETM-B)	RO
Onboard monitoring Manual (OMM)	RO
SOx Emissions Compliance Plan (SECP)	RO
EGC Record Book	RO
Procedures and Arrangements Manual	RO
Ship-to-ship-transfer plan (oil)	RO
Format of Muster List for passenger ship	RO
VOC Management Plan	RO
NOx Technical File	RO

6 Arrangements for the ISM audits and certification

- 6.1 Audits related to the Document of Compliance (DOC) and Safety Management Certificate (SMC) may be performed either by Trafi or by the RO. The Document of Compliance (DOC) and Safety Management Certificate (SMC) is issued by the auditing body.
- 6.2 The organization performing the ISM audits need not be the same as the organization performing the statutory surveys.
- 6.3 The RO is authorized to perform initial, interim, annual, intermediate, renewal and additional audits of Finnish Companies and Finnish vessels on the following conditions:
- a. The auditors of the RO shall as a minimum have the competence stated in Resolution A.1071(28) Revised Guidelines on Implementation of the International Safety Management Code by Administrations, and its Appendix and taking into account the standards mentioned in directive 2009/16/EC as amended on port State control, annex XI paragraph 2.
 - b. The audit shall be performed in the language of the Safety Management System (SMS).
 - c. The audit shall include an operational emergency drill. The scope of the drill must be extensive enough to verify that the ship personnel are able to handle emergency situations in accordance with approved emergency procedures.

7 Approved service providers

7.1 Launching and lifting appliances

- 7.1.1 Launching appliances under the authority of Trafi are those which are related to:
- 1974 SOLAS II-1/3-9 (i.e. gangways and accommodation ladders), forming part of the SAFCON survey
 - 1974 SOLAS III (i.e. LSA equipment), forming part of the SAFEQ survey.
- 7.1.2 Service providers for LSA launching appliances must be approved by Trafi if the service station is located in Finland.
- 7.1.3 Service providers for LSA launching appliances must be approved by Trafi, RO or the national maritime administration if the service station is located outside Finland.
- 7.1.4 All other lifting appliances (e.g. cargo cranes, passenger lifts, cranes for provision and all lifting appliances in the engine room) on board Finnish ships fall under the authority of the Ministry of Social Affairs and Health.

7.2 Servicing stations for inflatable liferafts, inflatable lifejackets, marine evacuation systems

- 7.2.1 According to Trafi's regulation Conditions for the approval of servicing stations for marine safety equipment and the requirements for their operation, a service station servicing inflatable liferafts, inflatable lifejackets, marine evacuation systems shall be approved (in accordance with the annex to IMO Resolution A.761(18), as amended) by the manufacturer of the equipment and by:
- Trafi, if the service station is located in Finland.
 - As authorized by the national maritime administration, if the service station is located outside Finland.

7.3 Shore-based maintenance for radio installations

7.3.1 Shore-based maintenance requirements are those which are related to:

- 1974 SOLAS IV reg.15 (shore-based maintenance)

7.3.2 Vessels flying the Finnish flag must have a contract with a shore-based maintenance provider approved by Trafi.

7.4 Voyage Data Recorder (VDR)

7.4.1 VDR service providers for VDR must be approved by manufacturer and RO.

8 Marine Equipment Directive (MED)

8.1 The RO shall, when issuing or renewing the relevant safety certificates, ensure compliance with the MED provisions (wheel-mark equipment), as implemented in Finnish legislation.